

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Thomas G. Ference et al.

Serial No.: New

Art Unit: Unassigned

Filed: November 5, 2001

Examiner: Unassigned

For: **ULTRA-FINE CONTACT**

ALIGNMENT

Atty Docket: IBM: BUR919980202US2

CBLH: 21806/0143



INFORMATION DISCLOSURE STATEMENT

(SUBMISSION WITH CONTINUATION-IN-PART OR RULE 1.53(b) CONTINUATION OR DIVISIONAL APPLICATION)

Commissioner for Patents Washington, D.C. 20231

November 5, 2001

Sir:

Pursuant to 36 C.F.R. §§ 1.97 and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Patent Office are listed on the PTO-1449 form, attached hereto.

Π. REFERENCES PREVIOUSLY CITED OR SUBMITTED

Pursuant to 37 C.F.R. § 1.98(d), consideration of information listed on the PTO-1449 form is requested since any patents, publications, or other information which are listed on the PTO-1449 form but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

Atty. Dkt: IBM: BUR919980202US2

CBLH: 21806/0143

U.S. Application No.

U.S. Filing Date

09/261,328

March 3, 1999

III. FEES

This information Disclosure Statement is being filed concurrent with the filing of a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS or requires a copy of any of the references cited but not provided, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to IBM Deposit Account No. 09-0456.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to IBM Deposit Account No. 09-0456 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

Larry Stume

Larry J. Hume, Reg. No. 44,163

Connolly Bove Lodge & Hutz LLP

1990 M Street, N.W.

Washington, D.C. 20036-3425

Telephone: 202-331-7111

Enclosure:

PTO-1449





FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Atty	Docket
	BU9-98202

Serial No.

To be assigned

Applicant

Thomas G. Ference et al.

Filing Date Herewith

Group Art	Unit 3	
To be	assigne R	

Examiner Document Number Date Name Class Sub-Class	Filing Date
Initial Number Date Name Class AA 5,660,321 8/26/97 Ishida et al	
AB 5,634,268 6/3/97 Dalal et al AC 5,214,308 5/25/93 Nishiguchi et al AD AE	
AC 5,214,308 5/25/93 Nishiguchi et al AD	
AD AE AE	
AE	
AF	
AG .	
AH	
AI	
AJ	
AK	
FOREIGN PATENT DOCUMENTS	
Document Number Date Country Class Sub-Class	Trans- lation
AL	Yes No
AM	Yes No
AN	Yes No
AO	Yes No
AP	Yes No
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)	
AR ——	
AS —	,
AT	
Examiner Date Considered	

Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

EXAMINER: